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			Application Num	nber	unless it displassyalid OMB coaldystymber.
TRANSMITTAL			Filing Date		August 6, 1999
FORM			First Named Inve	entor	David Tuck
(to be used for all correspondence after initial filing)			Group Art Unit		2761
			Examiner Name		Edward R. Cosimano
Total Number of Pages in This Submission 22			Attorney Docket N	Number	ACONT.0101C2
		ENCL	OSURES (	check al	I that apply)
Fee Transmittal Form	n [		nent Papers		After Allowance Communication to Group
Fee Attached		Drawing(s)			Appeal Communication to Board of Appeals and Interferences
✓ Amendment / Reply		Licensing-related Papers			Appeal Communication to Group
After Final		Petition			Proprietary Information
Affidavits/declaration(s)		Petition to Convert to a Provisional Application			Status Letter
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):
Express Abandonment Request		Terminal Disclaimer  Request for Refund			Postcard
Information Disclosure Statement		CD, Number of CD(s)			acknowledgement
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Response to Missing Parts/ Incomplete Application			_		
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name	CARSTENS, YEE & CAHOON, L.L.P.				
Signature ( ) and C an					
October 5, 2001					
CERTIFICATE OF MAILING					
I hereby certify that this correspondence is being deposited with the United States Postal Service with <u>sufficient postage as first class</u> mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:  October 5, 2001					
Typed or printed name	David W. Ca	rstens			
Signature	Va	W C		Date	October 5, 2001

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tuck, et al.

Filing Date:

August 6, 1999

Serial Number:

09/369,517

Title:

Apparatus and Method for Trading Electric Energy

Examiner:

Edward R. Cosimano

Art Unit:

2761

Assistant Commissioner of Patents Washington, D.C. 20231

## <u>TRANSMITTAL</u>

Enclosed are the following papers for the above-referenced patent application:

- 1. Response to Office Action mailed July 5, 2001;
- 2. Transmittal in duplicate;
- 3. Certificate of Mailing; and
- 4. Postcard Acknowledgment.
- 5. Copy of Declaration and Power of Attorney

The Commissioner is hereby authorized to charge payment of any further fees associated with any of the foregoing papers submitted herewith, or to credit any overpayment thereof, to Deposit Account No. 50-0392.

Respectfully submitted,

David W. Carstens

Registration Number 34,134

Date: October 5, 2001

CARSTENS, YEE & CAHOON, L.L.P. P.O. Box 80334 Dallas, TX 75251 972.367.2001 Telephone 972.367.2002 Facsimile

## **CERTIFICATE OF MAILING**

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I hereby certify that the documents listed below are being deposited with the United States Postal Service via First Class mail service with sufficient postage in an envelope addressed to the Assistant Commission for Patents, Washington, D.C. 20231, under 37 CFR 1.8, on October 5, 2001

Heather V. Morrison

Enclosures: Response to Office Action mailed July 5, 2001

Transmittal in duplicate

Regular Certificate of Mailing

Postcard Acknowledgment

Copy of Declaration and Power of Attorney